



## **GENERAL NOTICE TO FINANCIAL DEALERS LICENSEES**

Due to the June 2017 amendments of the Financial Dealers (Licensing) Act [CAP70] the Vanuatu Financial Services Commission would like to inform the members of the industry as well as members of the public that we have issued four (4) Guidelines to guide us in regards to all licensees under the Act.

These guidelines are as follows:

1. Application Guidelines;
2. Fit and Proper Guidelines;
3. Code of Conduct and Market Practice Guidelines; and
4. Guidelines For the Management of FDL Complaints.

To access these guidelines click on Dealers in Securities Licenses in our link below:

<https://www.vfsc.vu>

If you have any queries regarding these guidelines please do not hesitate to contact Joshua Tari of our Supervision Department at [tjoshua@vfsc.vu](mailto:tjoshua@vfsc.vu) .

Dated this 30<sup>th</sup> day of November, 2017.

George Andrews,  
**Commissioner**

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