

APPLICATION

FOR A FINANCIAL DEALERS RESIDENT MANAGER'S LICENCE

Please complete all sections as fully as possible, giving reasons for non-compliance if any, and attaching appendices where applicable.

1. Name of applicant

Address. _____

2. Date on which it is proposed to commence business in Vanuatu

3. If incorporated;

(a) Attach evidence of incorporation and a copy of the Memorandum and Articles of Association.

(b) Attach a list of all names, addresses and nationality of all shareholders.

In those instances where shares are held by a corporate body, the ultimate beneficial owner (UBO) must be disclosed.

(c) Attach curriculum vitae of all directors, managers and officers.

(d) Attach certified copy of passport for each manager, director and officers

(e) Attach certified copy of evidence of source of funds

(f) Attach certified copy of evidence of residence

(g) Provide name of partners and staff who will be directly involved in the management of the security dealers.

LAWS OF THE REPUBLIC OF VANUATU
FINANCIAL DEALERS LICENSING ACT CAP 70
Consolidated Edition 2021

Witness

Name: _____

Occupation: _____

Address: _____

Note: *Attention is drawn to section 16 of the Financial Dealers Licensing Act, CAP 70, which provides as follows:*

“16 Any person who, in furnishing any information for any of the purposes of this Act or rules or orders made thereunder, makes any statement which, to his knowledge, is false in a material particular, shall be guilty of an offence and liable on conviction to a fine not exceeding VT 100,000 or to imprisonment for a term not exceeding 6 months, or to both such fine and imprisonment”

The application should be returned with the appropriate application fee to:

**Commissioner
Vanuatu Financial Services Commission
Port Vila
Vanuatu**