

FORM 5

APPLICATION FOR SPECIAL TRUST LICENCE

Use this form to apply for a Special Trust Licence if you provide company and trust services in administering customary land.

Please complete all sections as fully as possible, giving reasons for noncompliance, if any, and attaching additional information where appropriate.

1. Class of licence applied for:
2. Details of the services to be provided:
3. Name of applicant:
4. Date and place of incorporation:
5. Names and addresses of all directors:
6. Names and addresses of managers:
7. Details of the applicant's controllers:
8. Business address in Vanuatu:
9. Name, address and qualifications of the applicant's auditor:

The following documents are attached:

- (a) the latest audited financial statements of the applicant (if any), the most recent audited accounts of the immediate parent (if any) and the latest consolidated group accounts (if any);
- (b) details of the applicant's internal organisation, internal controls and corporate governance, including details of how trust money is held and dealt with;
- (c) a business plan appropriate to the size and nature of applicant's business;
- (d) a completed Personal Questionnaire for each person employed by the applicant to manage the applicant's affairs (see Schedule 3);
- (e) a certified copy of the applicant's Certificate of Incorporation, Articles, and any other document by which the applicant is constituted;
- (f) a list of existing and proposed branch offices (if any);
- (g) a declaration by the applicant that it is not aware of any matter that might reasonably cause the Commission to doubt that it and its key persons have the competence, integrity or financial resources to be able to undertake business in accordance with the Company and Trust Services Providers Act and Regulations;

- (h) a declaration by each key person that he or she is not aware of any matter that might reasonably cause the Commission to doubt that he or she has the competence, integrity or financial resources to be able to undertake business in accordance with the Company and Trust Services Providers Act and Regulations.

Application is made for a Special Trust licence and it is certified that all the particulars contained in this application and in the documents accompanying it or otherwise in support are true and correct.

Dated this _____ day of _____ .

Name of applicant: _____

Signed: _____

Designation: _____

Signature of witness: _____

Occupation: _____

Address of witness: _____

**Please return this application and the relevant fee to the Vanuatu Financial Services Commission,
Port Vila, Vanuatu.**